



PATENT  
Customer No. 22,852  
Attorney Docket No. 05225.0192-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Hiroshi SUGIYAMA et al. ) Group Art Unit: 3622  
)  
Application No.: 09/753,450 ) Examiner: Myhre, James W.  
)  
Filed: January 4, 2001 ) Confirmation No.: 3423  
)  
For: SETTLEMENT SYSTEM USING )  
PURCHASE INFORMATION )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

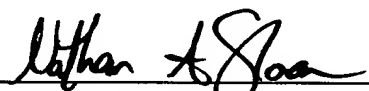
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

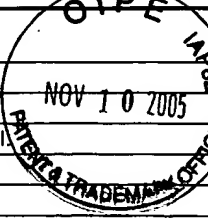
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 10, 2005

By:   
Nathan A. Sloan  
Reg. No. 56,249

IDS Form PTO/SB/08: Substitute for form 1449A/PTO  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">           INFORMATION DISCLOSURE STATEMENT BY APPLICANT         </div> <p style="text-align: center; font-size: 0.8em;">(Use as many sheets as necessary)</p>				<b>Complete if Known</b>	
Application Number		09/753,450			
Filing Date		January 4, 2001			
First Named Inventor		Hiroshi SUGIYAMA et al.			
Art Unit		3622			
Examiner Name		Myhre, James W.			
Attorney Docket Number		05225.0192-00			
Sheet	1	of	1		

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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**Note:** Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		English abstract of 11-316541; 16.11.1999; Hitachi Ltd.	
		English abstract of 10-289271; 27.10.1998; Hitachi Ltd.	
		English abstract of 11-175851; 02.07.1999; Hitachi Ltd.	
		Office Action in Japanese Priority Application 2000-025612 mailed December 7, 2004	
		Office Action in Japanese Priority Application 2000-025612 mailed August 9, 2005	

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.